



THE  
NAUTILUS  
GROUP®

CARRIE HALL & ASSOCIATES  
FINANCIAL AND INSURANCE SERVICES



# You have dreams. Aspirations. Goals.

You may want to travel the world, or settle in your own cozy little corner. Or maybe your destination is still unknown. Wherever you are on your journey, we can help you chart your course and navigate your financial future.



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# You have sophisticated wealth management needs.

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Access to accurate, well-researched information in the complex financial arena is essential for developing clarity and confidence as you develop your wealth management, estate and business plans.

Tax Planning Strategies

Estate & Legacy Planning

Business Succession  
& Exit Planning

Investment Management

Special Needs Planning

Insurance Planning

Charitable Planning



# Our Mission

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We're here to get to know you, to understand your dreams and aspirations, and to offer you state-of-the-art strategies designed to help turn your goals into reality.

# We provide custom solutions based on a holistic approach.

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We offer a wide range of consultative services and technical case design.

▼  
Tax  
Planning  
Strategies

▼  
Estate,  
Gift & Trust  
Planning

▼  
Business  
Succession &  
Exit Planning

▼  
Charitable  
Planning

▼  
Retirement  
Income  
Planning

▼  
Executive  
Benefits

▼  
Special  
Needs  
Planning

▼  
Life  
Insurance  
Planning

▼  
Asset  
Preservation  
& Protection

▼  
Employee  
Benefits



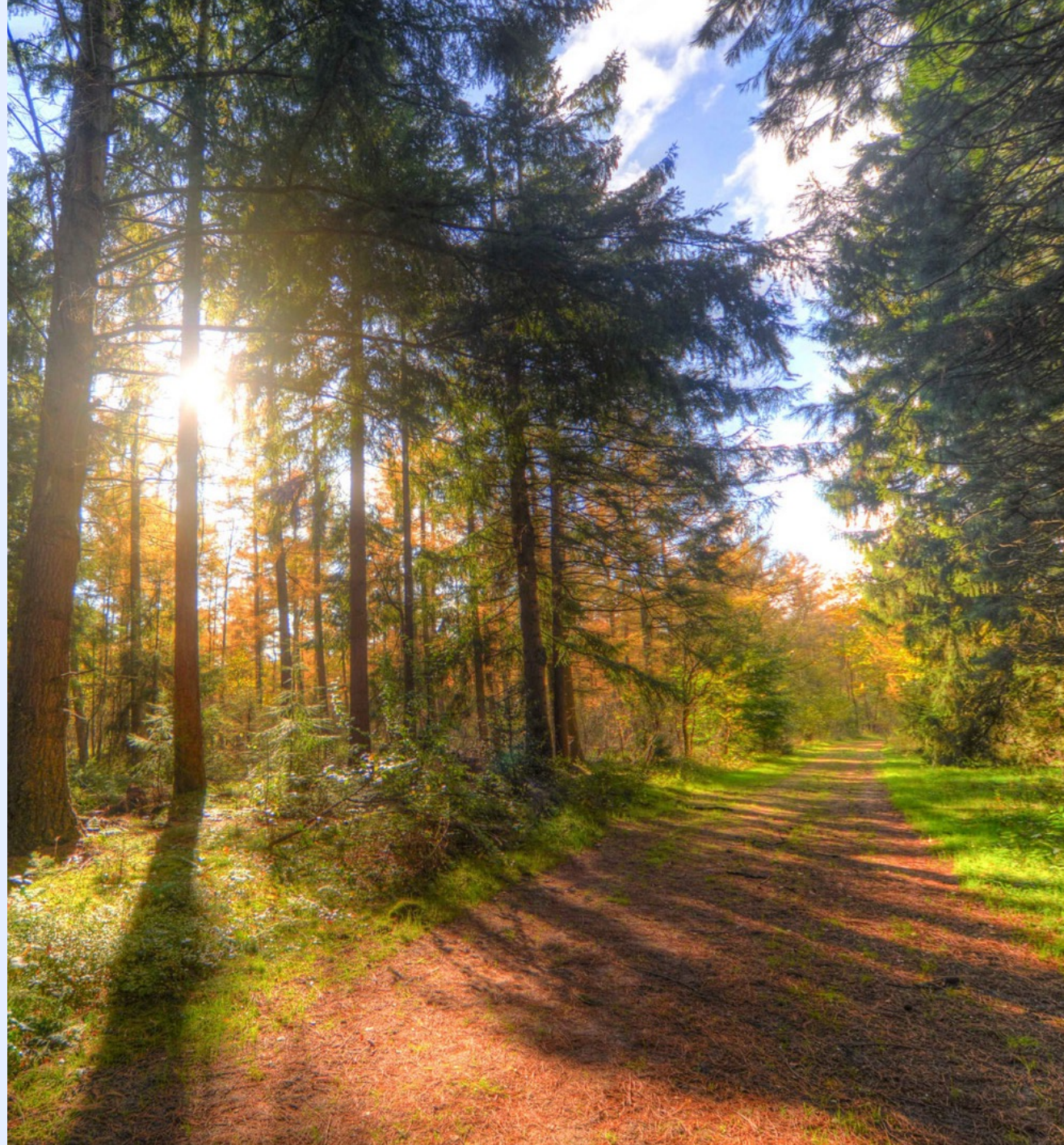
# Our Approach

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One of the most important differences in the value we provide to our clients is our commitment to a team approach.

We work hand in hand with you, your attorneys, accountants, and other advisors.

This team approach provides a holistic picture of your unique financial needs.



# Our Strategy

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It starts with understanding what's important to you.

1

Gain a clear understanding of your concerns and objectives.

2

Collaborate with your other professional advisors.

3

Utilize the industry leading resources of The Nautilus Group<sup>®</sup>, Eagle Strategies, & Brinker Capital.\*

4

Analyze and project your personal and business financial situations.

*\* Not affiliated with New York Life*

# Our Process

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Through this proven process, we identify goals and analyze potential gaps. We explore possible strategies to achieve your goals and close any gaps. We then work with you for the long term, helping you implement and follow up on your chosen course of action.

1

Initial Meeting

2

Discovery

3

Design

4

Recommend

5

Implement

6

Follow Up



# Our Clients

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The types of clients we can best help are those who prioritize:



Financial  
Peace of Mind

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Family  
Harmony

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Business  
Continuity

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Creating Legacy for  
Future Generations

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# We Specialize In Helping...

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Business Owners



Corporate Executives  
& Professionals



Retired Executives



High Net Worth  
Individuals & Families



Farmers & Ranchers



Real Estate Developers  
& Oil and Gas Interest Holders

# Our Resources

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We are proud to partner with New York Life Insurance Company, which enjoys the highest ratings for financial strength.\*

Since 1845, New York Life has preserved its heritage while evolving and innovating to meet the needs of its clients. New York Life has stayed the course through the economic ups and downs that have defined our nation.



*\*Individual third-party ratings reports as of 6/22/2022: A.M. Best (A++), Fitch (AAA), Moody's Investors Service (Aaa), Standard & Poor's (AA+).*





# Our Resources

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## The Nautilus Group<sup>®</sup>

Nautilus Members are part of a select group of financial professionals across the country and given access to a dedicated team of highly qualified planning professionals and resources exclusively designed for their high net worth and affluent clients.



THE  
NAUTILUS  
GROUP<sup>®</sup>

*The Nautilus Group<sup>®</sup> is a service of New York Life Insurance Company; membership is limited exclusively to the company's agents.*

# Our Resources

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THE  
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Nautilus is staffed by some of the top legal, tax, business succession, philanthropic, executive benefits, and retirement planning experts in the country.



# Our Resources

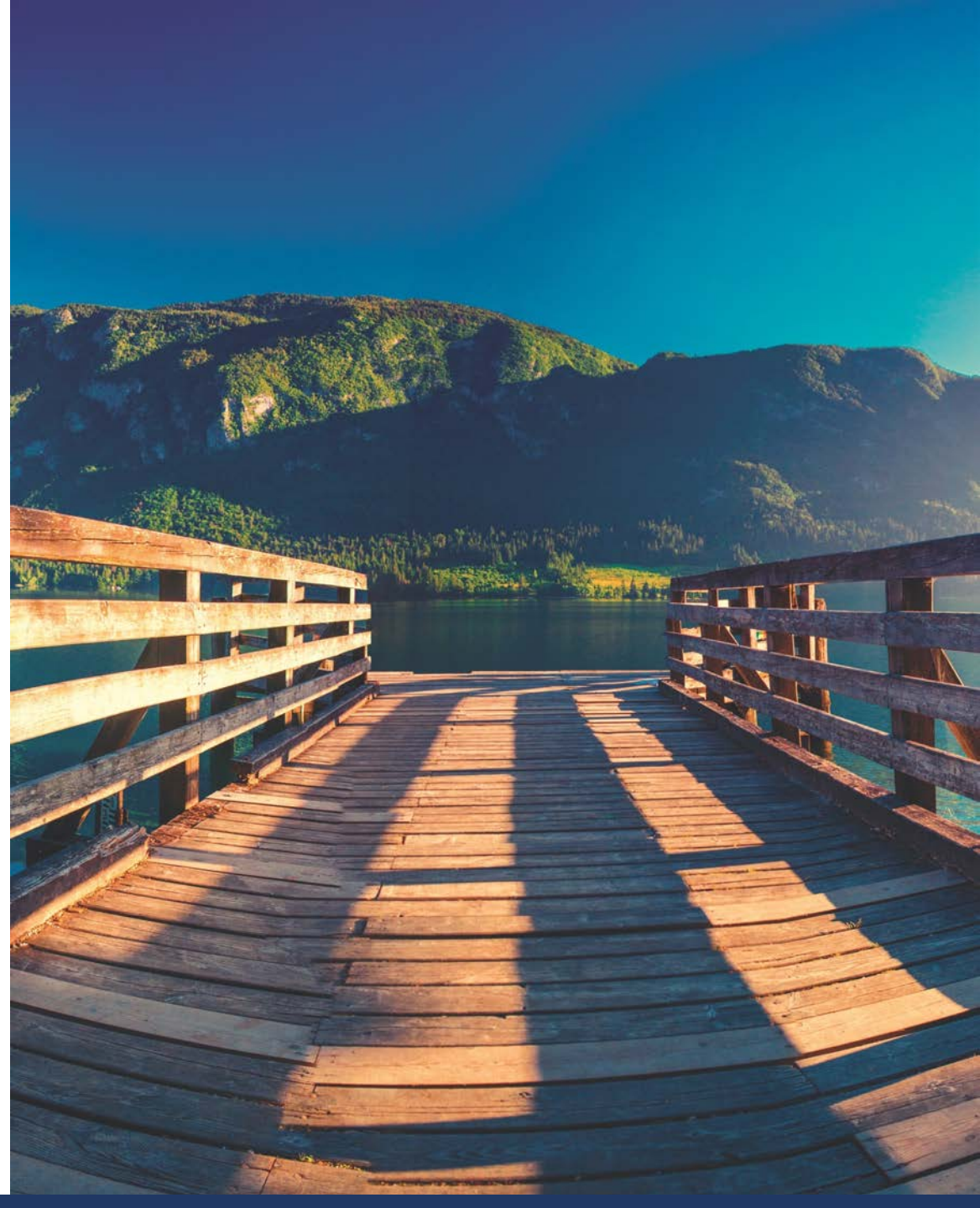
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## Investment Adviser Representative with Eagle Strategies LLC

Nautilus members who are affiliated with Eagle Strategies provide fee-based financial planning, investment advisory services, and access to investment management programs—a full spectrum of personalized, professionally managed financial resources.

Eagle  
Strategies  
LLC

*Eagle Strategies LLC is registered with the Securities and Exchange Commission as an "Investment Adviser" and is an indirect, wholly owned subsidiary of New York Life.*





# Our Resources

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## Registered Representative of NYLIFE Securities LLC

NYLIFE Securities has the resources needed to provide you with world-class brokerage services and a wide variety of investment options, helping you maximize your opportunities to grow and maintain your wealth.



**NYLIFE  
SECURITIES**

*NYLIFE Securities LLC is a wholly owned subsidiary of New York Life Insurance Company, (Member FINRA/SIPC) A Licensed Insurance Agency.*

# We're here for the long term.

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We are committed to be here for you, through all the economic ups and downs that will surely come.

Change is inevitable, but we'll be here to help you adjust and adapt your plan, to prepare you for all the rewards—and challenges—the future holds.





We can help you  
create peace of  
mind and legacy for  
your family.

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To learn more about us,  
visit [CHallAndAssociates.com](https://CHallAndAssociates.com)





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## CARRIE HALL & ASSOCIATES

FINANCIAL AND INSURANCE SERVICES



### Carrie L. Hall, CLU® , CFP®

#### Carrie Hall & Associates Financial and Insurance Services

Since 1985, Carrie has worked with affluent individuals, business owners, boards, and executive groups in the areas of business planning, estate strategies, wealth management, and executive benefits. She works closely with her clients and their advisors to provide enhanced designs and high levels of service and support in these areas. Carrie is a member of Nautilus Plus and the Advanced Service Model Group for Eagle Strategies LLC.

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*Carrie L. Hall, CLU®, CFP®, Member, The Nautilus Group®, a service of New York Life Insurance Company. Membership is limited exclusively to the company's agents. Financial Adviser offering investment advisory services through Eagle Strategies LLC, a Registered Investment Adviser. Registered Representative offering securities through NYLIFE Securities LLC (member FINRA/SIPC), A Licensed Insurance Agency, 14850 N. Scottsdale Rd, Suite 400, Scottsdale, AZ 85254; 480.840.2003. New York Life Insurance Company, its affiliates, and agents and employees thereof do not provide legal, tax or accounting advice. Clients are urged to seek the advice of their own professionals before implementing any planning strategies. Carrie Hall & Associates Financial and Insurance Services is not owned or operated by New York Life or its affiliates. SMRU xxxxxxxxxxxx Exp. xxxxxxxxxxxx*